Contents

		tions and Answers About the anced Technology Program (ATP)	.1
A	. Ge	neral Information	.]
	1.	What is ATP?	.1
	2.	Who may apply?	.1
	3.	How can I receive funding?	. 1
	4.	What information is required in an ATP proposal?	.]
	5.	How many gates are in ATP's proposal submission process?	.1
	6.	How are proposals submitted?	.2
	7.	Will confidential/proprietary information in my proposal be protected?	.2
	8.	Where can I seek help with preparing my proposal?	.2
	9.	Does ATP hold any public meetings or conferences to assist in proposal preparation?	.:
	10.	Is there a deadline for submitting an ATP proposal?	.3
	11.	Where do I submit proposals?	.3
	12.	Whom do I contact if I have questions?	٠.
В	. Eli	gibility	٠.
	1.		
	2.	Can universities, government laboratories, independent research organizations, and nonprofit organizations participate?	.4
	3.	Can a foreign-owned company apply for ATP funding?	
	4.	Is a foreign-eligibility finding required for foreign subcontractors?	.6
	5.	Can a foreign-owned company without a U.Sincorporated subsidiary receive an ATP award?	.6
	6.	Can a company owned by non-U.S. citizens and without a foreign parent company receive an ATP award?	.6
	7.	Where can I find additional information regarding foreign-owned company eligibility?	•



C.	Se	lection Criteria and Selection Process
	1.	What selection/evaluation criteria are used to select ATP proposals for funding
	2.	How are proposals selected for funding?
	3.	What are the most common reasons that a proposal submitted to ATP is not successful?
D.	Ine	eligible Projects and Ineligible Costs8
	1.	What types of projects are ineligible for ATP funding?
	2.	What types of costs are ineligible/unallowable?9
	3.	Can a university employee establish a company and subcontract back to his/her university?
	4.	Can an individual who has a financial or other interest in a company receive a subcontract from that company?
	5.	Will ATP fund research performed outside the United States?
E.	Fu	nding and Cost Sharing10
	1.	How much funding can a company/organization receive, and is cost
		sharing required?
	2.	How does ATP define <i>cost sharing?</i> 11
	3.	What are direct and indirect costs?
	4.	I am a small startup company and do not have any indirect costs. If funded, the ATP project would be the only project for my company. Will ATP fund a proposal that only has direct costs?
	5.	Will ATP fund a proposal that only has direct costs?
	6.	Can Cooperative Research and Development Agreement (CRADA) funds be used as cost sharing? May I count my company CRADA
	7.	contribution as cost share?
F.	Int	cellectual Property
	1.	Who retains title to patentable inventions arising from an ATP-funded project?
	2.	Does the federal government have any rights to patentable inventions arising from an ATP-funded project?

ATP Proposal Preparation Kit

G	Human and Animal Subjects	13
	1. Will ATP fund projects involving human subjects?	13
	2. We are not a biotech company. Does our research involve human subjects? .	14
	3. Will ATP fund projects involving animal subjects?	14
н	Award Requirements	14
	If my proposal is selected for funding, what terms and conditions	1
	must I follow?	14
	2. How will I receive funds if my proposal is selected for funding?	14
II. P	oposal Format and	
S	bmission Requirements	15
A	Proposal Format for Submission to Gates 1 and 2	15
	1. Bindings	
	2. Double-Sided Copy	
	3. E-mail Proposal Submissions	15
	4. Facsimile (FAX) Proposal Submissions	15
	5. Font	15
	6. Line Spacing	15
	7. Margins	15
	8. Number of Copies	15
	9. Page Limit	15
	0. Page Numbering	15
	1. Paper Size	15
	2. Proposal Language	
	3. References	15
	4. Table of Abbreviations	
	5. Table of Contents	
	6. Typed Document	
	7. Use of Metric/System International (SI) Units	15
	Electronic Proposal Submission	16
C.	Documentation Requirements for Gates 1 and 2 Proposal, Unless Otherwise Specified	16
	1. Single Company Only	16
	2. Joint Venture Only	17
	3 Roth Single Company and Joint Venture	18



	I. Guidelines for Preparing Project Narrative	21
	A. Gate 1 Project Narrative Content	2.1
	Executive Summary	
	Scientific and Technological Merit Project Narrative	
	Technical Innovation	
	High Technical Risk With Evidence of Scientific Feasibility	23
	Detailed Technical Plan	24
	Potential for Broad-Based Economic Benefits—	
	Preliminary Information	28
	B. Gate 2 Project Narrative Content	29
	Potential for Broad-Based Economic Benefits	29
	National Economic Benefits	30
	Need for ATP Funding	30
	Pathway to Economic Benefits	31
E	xhibits	37
1.	Checklist/Reminders for Submission of an ATP Proposal	39
2.		
3.	Form NIST-1262 (Pages 1 & 2): Single-Company Advanced Technology Program (ATP) Proposal Cover Sheet	47
4.	•	
5.		
6.	Form NIST-1263 (Pages 1 & 2): Joint Venture Advanced Technology	
	Program (ATP) Proposal Cover Sheet	
7.		
8.		
9.		
10.		
11.		85
12.	. R&D Work Performed Outside the United States by the Recipient or Subcontractor Questionnaire	87
A	ppendices	89
Α.	ATP Statute.	A-1
В.		